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USIA-06 TRSE-00 SAJ-01 CU-02 SAM-01 OIC-02 OC-01

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SECDEF WASHDC

INFO USDEL SALT TWO GENEVA

USDEL MBFR GENEVA

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USNMR SHAPE

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USLOSACLANT

USDOCOSOUTH

CINCLANT

SECRETSECTION 1 OF 2 USNATO 6082

E.O. 11652: GDS

TAGS: PFOR, PARM

SUBJECT: CSCE: CBM'S -EXCHANGE OF OBSERVERS AT MILITARY MANEUVERS

GENEVA FOR CSCE DEL

REF: USNATO 5929

MISSION TRANSMITS HEREWITH IS PAPER SUMMARIZING POLADS DISCUSSIONS TO DATE ON EXCHANGE OF OBSERVERS AT MILITARY MANEUVERS. IS PAPER, WHICH WAS CIRCULATED TOO LATE TO PERMIT SUBSTANTIVE DISCUSSION AT OCTOBER 29 POLADS, WILL BE SUBJECT OF DISCUSSION AT NEXT POLADS MEETING ON NOV. 12. MISSION WILL TRANSMIT COMMENTS ON OCTOBER 29 POLADS MEETING VIA SEPTEL. ACTION REQUEST:

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DEPARTMENT'S COMMENTS ON IS PAPER IN TIME FOR NOVEMBER 12 POLADS MEETING.
BEGIN TEXT

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CSCE CONFIDENCE BUILDING MEASURES: MODALITIES OF AN EXCHANGE OF OBSERVERS AT MILITARY MANOEUVRES

SOME DELEGATIONS BELIEVE THAT A CERTAIN DEGREE OF INTRA-ALLIANCE DOC-ORDINATION WOULD BE DESIRABLE ON THE MODALITIES OF ANY EXCHANGE OF OBSERVERS WHICH MAY BE AGREED TO IN THE CSCE CONTEXT. THE FOLLOWING IS A LIST OF QUESTIONS ON WHICH SUCH CO-ORDINATION MIGHT BE DESIRABLE, ALONG WITH COMMENTS MADE THUS FAR BY DELEGATIONS AND THE MILITARY COMMITTEE.

- 1. TYPES OF MANOEUVRES TO WHICH OBSERVERS SHOULD BE INVITED (A) NATO MULTINATIONAL MANOEUVRES
- SOME DELEGATIONS FAVOUR INVITING OBSERVERS.
- MILITARY COMMITTEE POINTS OUT THAT CO-ORDINATION WITHIN NATO (PROBABLY BY MAJOR SUBORDINATE COMMANDERS) WOULD BE NECESSARY AT LEAST REGIONALLY TO EXAMINE PERIODICALLY WHICH OF THESE MANOEUVRES SHOULD BE OPEN TO OBSERVERS.
- (B) NON-NATO MULTINATIONAL MANOEUVRES
- SOME DELEGATIONS FAVOUR INVITING OBSERVERS.
- NATO COMMANDERS WOULD HAVE NO ROLE.
- (C) NATIONAL MANOEUVRES ON FOREIGN TERRITORY
- SOME DELEGATIOS FAVOUR INVITING OBSERVERS.
- (D) NATIONAL MANOEUVRES ON NATIONAL TERRITORY
- SOME DELEGATIONS AND MILITARY COMMITTEE BELIEVE DECISION OF WHETHER TO INVITE OBSERVERS TO SECRET

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THESE MANOEUVRES MIGHT BEST BE LEFT TO THE DISCRETION OF THE NATIONS CONCERNED.

- SOME DELEGATIONS FAVOUR INVITING OBSERVERS.
- 2. SIZE OF MANOEUVRES TO WHICH OBSERVERS SHOULD BE INVITED

INVITATION ONLY TO MANOEUVRES OF SIZE SUBJECT TO PRIOR NOTIFICATION UNDER CBM ARRANGEMENTS.

- ONE DELEGATION FAVOURS THIS AS A PRINCIPLE, BUT BELIEVES THAT INDIVIDUAL STATES SHOULD BE FREE TO INVITE OBSERVERS TO MANOEUVRES OF A SMALLE SCALE IF THEY SO CHOOSE.
- SEVERAL DELEGATIONS AND THE MILITARY COMMITTEE

WOULD PREFER TO AVOID ANY AUTOMATIC CONNECTION BETWEEN SIZE OF MANOEUVRES SUBJECT TO PRIOR NOTIFICATION AND SIZE OF MANOEUVRES SUITABLE FOR EXCHANGE OF OBSERVERS. SPECIFIALLY, THEY OPPOSE LIMITING EXCHANGE OF OBSERVERS TO MANOEUVRES SUBJECT TO PRIOR NOTIFICATION.

NOTE: THE MILITARY COMMITTEE POINTS OUT THAT INVITATION OF OBSERVERS TO SMALLER SCALE MANOEUVRES WOULD BE EQUIVALANT TO NOTIFICATION, SINCE IT WOULD REQUIRE A BRIEFING OF THE OBSERVERS ON THE PURPOSE AND EXTENT OF SUCH MANOEUVRES.

- 3. RIGHT TO ISSUE INVITATIONS
- (A) FOR NATIONAL MANOEUVRES ON NATIONAL TERRIROTY:
- STATE HOLDING MANOEUVRE WOULD INVITE.
- (B) FOR NATIONAL OR MILTINATIONAL MANOEUVRES ON FOREIGN TERRITORY, THERE ARE THE FOLLOWING OPTIONS:
- (I) STATE ON WHOSE TERRITORY MANOEUVRE TAKES PLACE AND STATE OR STATES HOLDING MANOEUVR TOGETHER ISSUE THE INVITATION.

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- ONE DELEGATION FAVOURS THIS OPTION; ONE OTHER COULD SUPPORT IT.
- (II) STATE ON WHOSE TERRITORY MANOEUVRE TAKES PLACE GIVES ITS APPROVAL TO STATE OR STATES HOLDING THE MANOEUVRE. LATTER STATE OR STATES THEN ISSUE INVITATION, WHICH COULD CONTAIN MENTION OF APPROVAL OF HOST STATE.
- TWO DELEGATIONS FAVOUR THIS OPTION.
- (III) STATE ON WHOSE TERRITORY THE MANOEUVRE TAKES PLACE ISSUES INVITATION AFTER CONSULTATION WITH STATE OR STATES HOLDING MANOEUVRE.
- ONE DELEGATION FAVORS THIS OPTION.

NOTE: SOME DELGATIONS ARE OPEN-MINDED REGARDING THE THREE OPTIONS OBOVE.

4. WHOM TO INVITE.

DECISION IS LEFT TO INVITING STATE, ACCORDING TO THE

GENEVA TEXT OF 20TH JULY, 1974. (HOWEVER, THIS DISCRETION IS LIMITED IN THAT INVITATIONS SHOULD BE ISSUED "IN A SPIRIT OF

RECIPROCITY AND GOOD WILL TOWARDS ALL PARTICIPATING STATES".)

- ONE DELEGATION BELIEVES THERE SHOULD BE INTRAW ALLIANCE CO-ORDINATION IN EACH CASE ON WHO SHOULD BE INVITED, ALTHOUGH IT AGREES THAT, IN PRINCIPLE, THE DECISION ON WHOM TO INVITE IS UP TO THE INVITING STATE.
- ONE DELEGATION SUGGESTS THAT THE WORDING OF THE GENEVA TEXT MENTIONED ABOVE SHOULD IDEALLY READ "...TOWARDS ALL PARTICIPATING STATES INCLUDING NEIGHBOURING STATES, IN PARTICULAR". TWO DELEGATIONS DISAGREE; THEY FEEL THAT STRESSING THE NEED TO INVITE NEIGHBOURING STATES MIGHT DILUTE THE PRINCIPLE, SET OUT IN THE GENEVA COMPROMISE FORMULA. SECRET

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- THE MILITARY COMMITTEE BELIEVES IT IS NOT CLEAR FROM THE GENEVA TEXT WHETHER A PARTICIPATING STATE WOULD FEEL BOUND, IN EVERY CASE, TO INVITE ALL PARTICIPATING STATES.
- 5. MANNER OF ISSUANCE OF INVITATIONS

ON BILATERIAL BASIS AND THROUGH DIPLOMATIC CHANNESL, AS PROVIDED BY GENEVA TEXT. AS TO MODALITIES, INVITATIONS COULD BE SENT:

(A) THROUGH THE EMBASSIES OF THE STATES TO BE INVITED. FAVOURED BY SOME DELEGATIONS; QUESTIONED BY ONE.

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(B) IN BUNDLES ON WESTERN SIDE IN THE CASE OF MULTINATIONAL MANOEUVRES, THUS AVOIDING PARALLEL AND CROSSING INVITATIONS. FAVOURED BY SOME DELEGATIONS; QUESTIONED BY ONE.

6. NUMBER OF OBSERVERS TO BE INVITED FROM EACH STATE

IN FINAL ANALYSIS, INVITING STATE WOULD DETERMINE THE NUMBER, AS STIUPLATED IN THE GENEVA TEXT.

(A) ONE OBSERVER ONLY. SOME DELEGATIONS FELT THAT SUCH A SEVERE LIMITATION WAS UNREALISTIC.

(B) "UP TO TWO OBSERVERS", EACH ENTITLED TO ONE STAFF AIDE. SECRET

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FAVOURED BY ONE DELEGATION.

- (C) VERY LIMITED NUMBER OF OBSERVERS. FAVOURED BY SEVERAL DELEGATIONS WITHOUT SPECIFYING FIGURES.
- (D) COMPLETE FLEXIBILITY. NUMBER TO BE DETERMINED IN LIGHT OF CIRCUMSTANCE. FAVOURED BY ONE DELEGATION.
- 7. PROCEDURES FOR REJECTING AN OBSERVER
- (A) CLAUSE IN INVITATIONS STATING THAT NAMES OF OBSERVERS MUST BE NOTIFIED TO INVITING STATE BEFOREHAND.
- -FAVOURED BY TWO DELEGATIONS. NAMES SHOULD BE NOTIFIED "IN TIME" FOR POSSIBLE OBJECTIONS TO BE MADE KNOWN.
 MILITARY COMMITTEE FAVOURS SUBMISSION OF NAMES AND OTHER IDENTIFYING DATA NO LATER THAN 20 DAYS PRIOR TO START OF MANOEUVRE.
- (B) PROCEDURE FOR REJECTING AN OBSERVER DURING A MANOEUVRE.
- FAVOURED BY TWO DELEGATIONS.
- 8. STATUS OF OBSERVERS
- (A) IF REGULAR MILITARY ATTACHES AND/OR ASSISTANT MILITARY ATTACHES WERE ASSIGNED AS OBSERVERS, THE VIENNA CONVENTION ON DIPLOMATIC RELATIONS WOULD APPLY (OPERATIVE PORTION:

ARTICLE 7, SENTENCE2). THEY WOULD BE CARRIED IN THE DIPLOMATIC LIST, AND PRIVILEGES AND IMMUNITIES WOULD NOT HAVE TO BE SPECIALLY GRANTED.

- SEVERAL DELEGATIONS GENERALLY PREFER USING AND INVITING REGULARLY ACCREDITED MILITARY ATTACHES AS OBSERVERS.
- (B) IF OBSERVERS WERE REGISTERED AS MILITARY ATTACHES AND/OR ASSISTANT MILITARY ATTACHES (A ACCEPTED AS SUCH BY THE HOST COUNTRY), THE RESULT WOULD BE AS IN (A) ABOVE.
- ONE DELEGATION SUPPORTS THIS PROCEDURE. TWO SECRET

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OPPOSE IT.

- (C) IF THE OBSERVERS WERE NOT REGISTERED AS MILITARY ATTACHES AND/OR ASSISTANT MILITARY ATTACHES BUT SIMPLY ASSIGNED FOR THE PURPOSE OF OBSERVING THE SPECIFIC MANOEUVRE, THE QUESTION OF THEIR RIGHTS, PRIVILEGES AND IMMUNITIES WOULD ARISE.
- 9. RIGHTS. PRIVILEGES AND IMMUNITIES OF OBSERVERS

REGARDLESS OF THE EXACT STATUS OF THE OBSERVERS, STEPS SHOULD BE TAKEN TO ENSURE A MINIMUM SET OF RIGHTS, PRIVILEGES AND IMMUNITIES. THESE MIGHT INCLUDE:

- (A) FREEDOM OF CHOICE OF MEANS OF LAND TRANSPORTATION INTO AND WITHIN MANOEUVRE AREA (OWN MOTOR VEHICLE OR MOTOR VEHICLE MADE AVAILABLE).
- ONE DELEGATION BELIEVES MOTOR VEHICLES SHOULD BE PROVIDED BY HOST COUNTRY. ANOTHER BELIEVES OBSERVERS SHOULD NOT BE WHOLLY DEPENDENT ON TRANSPORTATION PROVIDED BY HOST COUNTRY.
- (B) RELATIVE FREEDOM OF MOVEMENT IN MANOEUVRE AREA (HOWEVER, INSPECTION OF MILITARY INSTALLATIONS OR EQUIPMENT WOULD BE ONLY ON SPECIAL INVITATION AND OBSERVERS WOULD BE ACCOMPANIED BY AN EXCORT).
- SOME DELEGATIONS BELIEVE OBSERVERS SHOULD BE ESCORTED AT ALL TIMES DURING MANOEUVRES BY HOST COUNTRY LIAISON OFFICERS.
- (C) AUTHORITY TO CARRY OWN PHOTOGRAPHIC AND OPTICAL EQUIPMENT.
- (D) POSSIBILITY OF COMMUNICATION WITH OWN EMBASSY.
- ONE DELEGATION STRESSES THAT MEANS OF COMMUNICA-

TION WITH OWN EMBASSY SHOULD NOT BE IN HANDS OF HOST COUNTRY.

(E) EXEMPTION FROM ARREST, CONFINEMENT, AND SEARCH, AND FROM SECRET

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CONFISCATION OF EFFECTS IN OBSERVERS' POSSESSION DURING THEIR STAY IN THE HOST COUNTRY FOR THE SPECIAL PURPOSE.

- (F) RIGHT TO COMMUNICATE VIA COURIER OR RADIO.
- ONE DELEGATION FEELS THIS IS UNNECESSARY. SOME OTHER DISAGREE.
- (G) RIGHT TO BE ADEQUATELY BRIEFED ON THE MANOEUVRE.
- (H) RIGHT TO BE PROVIDED WITH SUITABLE LODGING, MEALS AND MEDICAL SERVICES BY THE INVITING COUNTRY.

NOTES: EACH OF (A) THROUGH (H) ABOVE WAS SUPPORTED BY SOME DELEGATIONS AND BY THE MILITARY COMMITTEE. ONE DELEGATION HAD NO OBJECTIONS TO ABOVE LIST IN PRINCIPLE, BUT FELT THAT SUCH A HIGH DEGREE OF PRECISION WAS UNNECESSARY AND THAT THE MODALITIES WOULD TEND TO EVOLVE OF THEIR OWN DYNAMIC.

ON DELEGATION FAVOURED THE ABOVE LIST, BUT ONLY ON CONDITION THAT THERE BE STRICT RECIPROCITY.

ONE DELEGATION STRESSED THAT ANY NEW FORMAL AGREEMENTS ON RIGHTS, PRIVILEGES AND IMMUNITIES SHOULD BE AVOIDED.

10. POSSIBLE SYNCHRONISATION BETWEEN PROCEDURES FOR OBSERVER EXCHANGE AS A CBM IN CSCE CONTEXT AND OBSERVER EXCHANGE AS A STABILISING MEASURE IN MBFR CONTEXT

IT IS FORESEEN THAT WARSAW PACT OBSERVERS WOULD BE INVITED TO WESTERN MANOEUVRES UNDER BOTH CSCE AND MBFR ARRANGEMENTS. THE QUESTION THEREFORE ARISES AS TO WHETHER SOME SYNCHRONISATION OF PROCEDURES APPLYING TO OBSERVER EXCHANGE IN THE TWO CONTEXTS MIGHT BE NECESSARY.

- ON DELEGATION DOUBTS THAT SYNCHRONISATION WOULD BE NECESSARY SINCE THE FUNCTIONS OF OBSERVERS IN MBFR WOULD BE DIFFERENT FROM THOSE OF OBSERVERS IN CSCE.

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11. PROCEDURES FOR INTRA-ALLIANCE STUDY OF MODALITIES

OF OBSERVERS EXCHANGE IN CSCE CONTEXT

- -THERE IS A CLEAR CONSENSUS AMONG DELEGATIONS THAT ANY EAST-WEST AGREEMENT ON MODALITIES SHOULD BE AVOIDED, AND SPECIFICALLY THAT THERE SHOULD BE NO RE-OPENING OR AMPLIFICATION OF THE CSCE TEXT AGREED IN GENEVA IN JULY.
- IN ADDITION, THERE WAS A CONSENSUS THAT ANY POSSIBLE UNDERSTANDINGS REACHED AMONG ALLIES AND ANY DOCUEMTN OR DOCUMENTS PRODUCED ON THIS ISSUE SHOULD BE KEPT STRICTLY WITHIN THE ALLIANCE.
- ONE DELEGATION BELIEVED THAT ANY COMMON GUIDLINES AND PRACTICES AGREED TO WITHIN THE ALLIANCE SHOULD APPLY ONLY TO THE EXCHANGE OF OBSERVERS AT MULTINATIONAL MANOEUVRES. THE DELEGATION FELT THAT THE GUIDELINES SHOULD REMAIN FLEXIBLE TO TAKE INTO ACCOUNT EASTERN PRACTICES AS THEY DEVELOP.
- ONE DELEGATION QUESTIONED THE UTILITY OF ANY
 FORMALLY AGREED GUIDELINES. IT FELT THAT THE
 IMS WOULD HAVE TO COMPLETE MORE THOROUGH
 STUDIES BEFORE A DECISION COULD BE TAKEN ON
 WHETHER AND TO WHAT EXTENT AGREED GUIDELINES
 WOULD BE NECESSARY AND/OR USEFUL.
 ANOTHER DELEGATION OPPOSED ELABORATING ANY
- AGREED NATO DOCUMENT ON THE MODALITIES OF OBBSERVER EXCHANGE. END TEXT. MCAULIFFE

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